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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/570,668	03/06/2006	Akihiko Endo	P29124	1243
7055 GREENBLUM	7590 02/07/2008 A & BERNSTEIN, P.L.C.		EXAMINER	
1950 ROLAN	D CLARKE PLACE		SMITH, BRADLEY	
RESTON, VA	20191		ART UNIT PAPER NUMBER	
	•	•	2891	<del>".</del> "
			NOTIFICATION DATE	DELIVERY MODE
			02/07/2008	ELECTRONIC

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

gbpatent@gbpatent.com pto@gbpatent.com

	Application No.	Applicant(s)				
	10/570,668	ENDO ET AL.				
Office Action Summary	Examiner	Art Unit				
	Bradley K. Smith	2891				
The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply						
A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).  Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).						
Status						
Responsive to communication(s) filed on  2a) ☐ This action is <b>FINAL</b> . 2b) ☑ This  3) ☐ Since this application is in condition for allowar closed in accordance with the practice under E	action is non-final.  nce except for formal matters, pro					
Disposition of Claims						
4)  Claim(s) 1-17 is/are pending in the application.  4a) Of the above claim(s) is/are withdraw  5)  Claim(s) is/are allowed.  6)  Claim(s) 1-17 is/are rejected.  7)  Claim(s) is/are objected to.  8)  Claim(s) are subject to restriction and/or  Application Papers  9)  The specification is objected to by the Examine  10)  The drawing(s) filed on 26 March 2006 is/are: a Applicant may not request that any objection to the Replacement drawing sheet(s) including the correct 11)  The oath or declaration is objected to by the Examine	vn from consideration.  r election requirement.  r. a)⊠ accepted or b)□ objected to drawing(s) be held in abeyance. See ion is required if the drawing(s) is obj	ected to. See 37 CFR 1.121(d).				
Priority under 35 U.S.C. § 119  12) △ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  a) △ All b) ☐ Some * c) ☐ None of:  1. ☐ Certified copies of the priority documents have been received.  2. ☐ Certified copies of the priority documents have been received in Application No  3. ☒ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).  * See the attached detailed Office action for a list of the certified copies not received.						
Attachment(s)  1) Notice of References Cited (PTO-892)  2) Notice of Draftsperson's Patent Drawing Review (PTO-948)  3) Information Disclosure Statement(s) (PTO/SB/08)  Paper No(s)/Mail Date 6/14/06.	4) ☐ Interview Summary Paper No(s)/Mail Da 5) ☐ Notice of Informal P 6) ☑ Other: <u>east search</u> .	ite				

Application/Control Number: 10/570,668

Art Unit: 2891

#### **DETAILED ACTION**

### Specification

The lengthy specification has not been checked to the extent necessary to determine the presence of all possible minor errors. Applicant's cooperation is requested in correcting any errors of which applicant may become aware in the specification.

### Claim Rejections - 35 USC § 112

The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

Claim 2, 3, 5, 6, 8-13 and 15- 17 are rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the enablement requirement. The claim(s) contains subject matter which was not described in the specification in such a way as to enable one skilled in the art to which it pertains, or with which it is most nearly connected, to make and/or use the invention.

Specifically the specification does not disclose what is meant by "old ASTM". Page 7 lines 20-23, disclose "the term, old ASTM, used herein represents one of the definitions of the oxygen concentration in the silicon and one of the techniques for converting a FT-IR spectrum to an oxygen concentration". This definition would not enable one of ordinary skill in the art to make the current invention, because they would not know what the "old ASTM" comprises. Is it the ASTM '79 as disclosed by Tamatsuka US Patent 6,224,668 (see column 3 line 33) or ASTM '81

as disclosed by Murakami [0015] or another ASTM? These claims will not be addressed with respect to the prior art.

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

Claims 1-17 rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

The claims are generally narrative and indefinite, failing to conform with current U.S. practice. They appear to be a literal translation into English from a foreign document and are replete with grammatical and idiomatic errors. Specifically claim 1 disclose "bonding a wafer for active layer" and "reducing a film thickness in a part of said active layer wafer of said bonded wafer to thereby form an SOI layer for manufacturing an SOI wafer". The claim language does not make sense. The examiner does not understand what is being claimed. Is there one wafer or three? The claim discloses an active layer wafer, a bonded wafer and an SOI wafer. The examiner will treat the claims as though there is just the SOI wafer with an active layer and a supporting layer.

# Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are Application/Control Number: 10/570,668

Art Unit: 2891

such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

Claims 1, 4 and 13 are rejected under 35 U.S.C. 103(a) as being unpatentable over Tamatsuka et al. (US 6,224,668) in view of Falster (US 2005/0255671). Tamatsuka disclose bonding an active layer (2) to a support substrate (3) reducing the film thickness of the active layer (see figures 1a-1g). Regarding claim 4, Tamatsuka disclose ion implanting and heat treating to separate the layers (see column 9 lines 12-30). Regarding claim 14, Tamatsuka disclose forming an SOI layer with a thickness of 1 micron *or less* (see abstract). Tamatsuka fails to disclose a support wafer with stacking faults in it. However Falster disclose using stacking faults in the support substrate/base to act as intrinsic gettering sites. Therefore it would have been obvious to one of ordinary skill in the art a the time the invention was made to combine the teachings of Tamatsuka and Falster because the stacking faults would prevent metal atoms and other atoms from diffusing through to the oxide layer [Falster,0008 and 0014].

#### Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Bradley K. Smith whose telephone number is 571-272-1884. The examiner can normally be reached on 10-6.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Bill Baumeister can be reached on 571-272-1722. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Application/Control Number: 10/570,668

Art Unit: 2891

Information regarding the status of an application may be obtained from the Patent

Application Information Retrieval (PAIR) system. Status information for published applications

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information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Bradley K Smith/ Primary Examiner, Art Unit 2891

Page 5